JC03 Rec'd PCT/PT0 1 2 APR 2005 PTO-1350 THE 022-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

38028

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
	TONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
TITLE OF I	P2004/000061 INVENTION	08 Jan. 2004 (08.01.04)	08 Jan. 2003 (08.01.03)	
MODULATOR AND CORRECTION METHOD THEREOF				
APPLICANT(S) FOR DO/EO/US HIRANO, Shunsuke and MIYAHARA, Yasunori.				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. 🛛 1	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.			
2. П т	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.			
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.	The US has been elected (Article 31).			
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
	a. Lis attached hereto (required only if not communicated by the International Bureau).			
	b. An has been communicated by the International Bureau.			
53	c. is not required, as the application was filed in the United States Receiving Office (RO/US).			
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
	a. A is attached hereto.	70 1 - 1 - 05 H O O 454/4/VA		
7. 🛛	b.  has been previously submitted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a. are attached hereto (required only if not communicated by the International Bureau).			
		by the International Bureau.	ional Barcas).	
	c. have not been made; however, the time limit for making such amendments has NOT expired.			
2	d. Anave not been made and	will not be made.		
ε <mark>;</mark> □	An English language translation of t	he amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).	
9. 🔀	An oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).		
10. 🔲	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminary E	xamination Report under PCT	
Items 11 to 20 below concern document(s) or information included:				
11.	An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.		
12. 🔀	An assignment document for recordi	ing. A separate cover sheet in compliance wi	ith 37 CFR 3.28 and 3.31 is included.	
13. 🖂	A preliminary amendment.			
	An Application Data Sheet under 37	CFR 1.76.		
15.	A substitute specification.			
16.	A power of attorney and/or change of address letter.			
17.	·	quence listing in accordance with PCT Rule 1	•	
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19. Ll		ge translation of the international application		
20.	Other items or information: Retu	rn Receipt Postcard: Cert	t of Express Mail; and	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC13 Rec'd PCT/PTO 12 APR 2009

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) PCT/JP2004/000061 38028 CALCULATIONS PTO USE ONLY The following fees have been submitted 21. 🔯 Basic national fee.....\$300 300.00 22. 💢 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 All other situations..... 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00 All other situations.....\$500 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 48 - 100 = O 0.00 /50 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). 0,00 **CLAIMS** NUMBER FILED NUMBER EXTRA RATE \$ 210 \$ Total claims - 20 = x \$50 300.00 \$ Independent claims - 3 = \$200 600.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ 360.00 **TOTAL OF ABOVE CALCULATIONS =** 2160.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 0.00 \$ 2140.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 2160.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 2200.00 Amount to be \$ refunded: Amount to be \$ charged: A check in the amount of \$ 2200.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ \_\_\_ \_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🔽 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0620. A duplicate copy of this sheet is enclosed.

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Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to rive (3/ CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 116 NAME 27676 REGISTRATION NUMBER

; ,

## **CERTIFICATE OF EXPRESS MAIL**

Appl. No.

TBD

**Applicant** 

Shunsuke Hirano et al.

Filed

Herewith

Title

MODULATOR AND CORRECTION METHOD THEREOF

Int'l. Application No.

PCT/JP2004/000061

Int'l. Filing Date

January 8, 2004

Conf. No. TC/A.U. Examiner

TBD TBD TBD

Customer No.
Docket No.

000,116

38028

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Date of Deposit <u>April 12, 2005</u>

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Amanda Wittine

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